

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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| <b>Applicant(s):</b> Millette et al.   | <b>: Group Art Unit:</b> 3692               |
| <b>Serial No.:</b> 09/900,554  | <b>: Examiner:</b> Nga B. Nguyen            |
| <b>Filed:</b> July 6, 2001   | <b>: Attorney Docket No.:</b> 006878.112500 |
| <b>For:</b> METHOD FOR STRUCTURING AN<br>EQUITY ISSUE UTILIZING A<br>CLOSED BLOCK BUSINESS<br>ENTITY | <b>:</b>                                    |

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**AMENDMENT IN RESPONSE TO JUNE 4, 2007 OFFICE ACTION**

Mail Stop Amendment  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS:**

This Amendment is filed in reply to the Office Action issued by the U.S. Patent and Trademark Office on June 4, 2007 in connection with the above-identified patent application. The June 4, 2007 Office Action provided a period of 3 months in which to file a response, i.e., by September 4, 2007. A petition for a one-month extension of time is submitted herewith, Accordingly, a reply to the June 4, 2007 Office Action is now due October 4, 2007 and this Amendment is being timely filed.